

Online License Application Instructions

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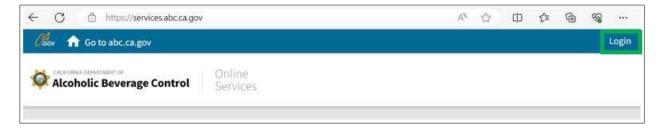
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Online Services Accounts

To apply for a license, you must have an Online Services account. If you have an existing account, you do not need to create a new one to apply for a license online. If you do not have an existing account, you can create one.

Existing License Administrator Role

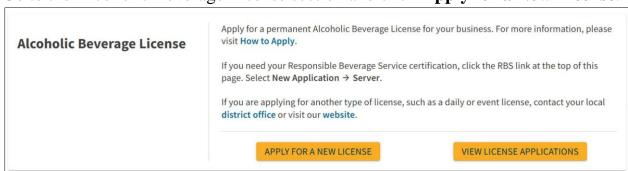
If you have an existing Online Services account with a License Administrator role, log in to <u>services.abc.ca.gov</u>.



Select **Manage** and click **Applications**.



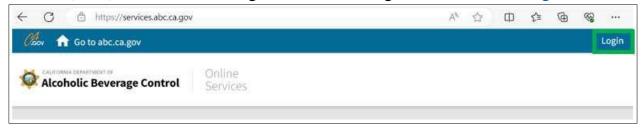
Go to the Alcoholic Beverage License section and click Apply for a New License.



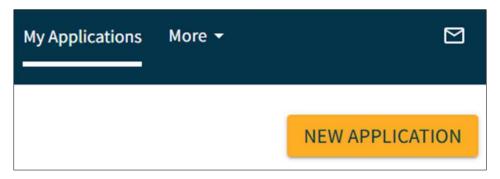
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Existing RBS Server or Training Provider Role

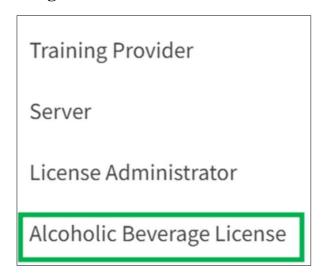
If you have an existing Online Services account with a Responsible Beverage Service (RBS) Server or Training Provider role, log in to <u>abcbiz.abc.ca.gov</u>.



Select My Applications and click New Application.



Choose Alcoholic Beverage License.



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Create an Online Services Account

If you do not have an existing Online Services account, go to <u>services.abc.ca.gov</u> and click **Create Your Account**.



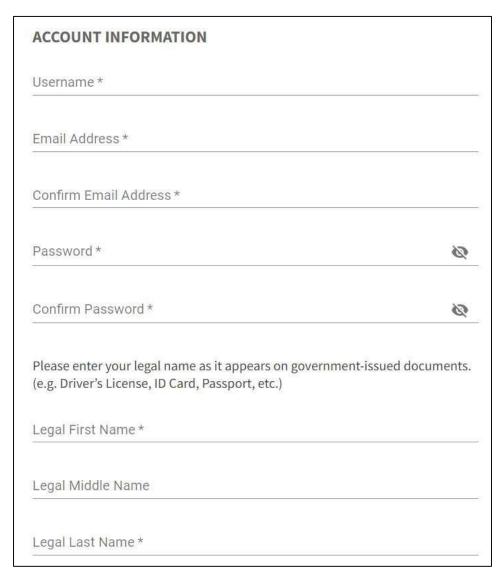
Create a **username**. Your username must be between 3 and 30 characters long. It can include a-z, 0-9, and underscore. It must not include special characters such as !, @, #, \$, %, ^, &, *, ?, ~, |,(,), ,[,]

Enter your **email address**. Your email address must be valid and belong to you. It can only be used for one account and cannot be shared.

Create a **password**. Your password must be at least 15 characters. You must confirm your password.

Enter your legal first and last name. They must match the first and last name on your identification. Please ensure your name is spelled correctly.

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Once your account is created, you must confirm your email address. You will receive an email with the subject line **ABC Online Services Confirmation**. Click the **Confirm Email** button to log in.



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Select I want to apply for a new permanent alcoholic beverage license for my business. Click Continue.

sponsible Beverage Service (RBS) Training Provider.
alcoholic beverage license for my business.
nolic beverage license for my business. As an applicant, you can:
→ Submit your Alcoholic Beverage License applications
→ View your Alcoholic Beverage License applications
Upload documents to your Alcoholic Beverage License applications

Application Requirements

Before beginning your application, please ensure you have the following information:

- Application Type: You must know the license types you are applying for, including secondary licenses. Review the descriptions for each <u>license type</u>.
- Applicant Information:
 - o If any applicant has ever been licensed by ABC, you must have a license number associated with the applicant. If you do not know your license number, you can search for it on ABC's <u>License Lookup</u> page.
 - o If the applicant has never been licensed by ABC, you should know their personal information such as name, social security or driver's license number, and marital status.

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- If applying as a business entity, such as a Corporation, Limited Liability Company, Limited Partnership, or Trust, you must have the Secretary of State Number, State of Incorporation, and Date of Incorporation for each entity, if applicable. You must also have the personal information for each officer, director, manager, managing member, stockholder, or trustee.
- Premises Information: You must provide the address of the proposed license premises. You must also provide information about the building type and the surrounding area.
- Mailing Information: You must provide a mailing address if it is different from the premises address. Please note that all correspondence from ABC will be sent to the mailing address, including the license if it is approved.
- Business Information: You must provide information about the business, including operating hours and the planned operation.
- Financial Information: You must provide financial information about the business, including investments and sources of funds. You will also be required to list all bank accounts for the business operation and the persons authorized to sign on those accounts.
- Documents: You will be required to upload documents to complete your application. These documents may include:
 - Entity documents, including the Articles of Incorporation, Meeting Minutes, Operating Agreements, and questionnaires
 - o **NOTARIZED** Individual Personal and Financial Affidavits
 - Licensed Premises Diagram
 - o Tied-House Restrictions
 - NOTARIZED signatures for all applicants, officers, directors, managers, managing members, stockholders, or trustees

Required documents will be specified in the **Upload Documents** section of the application and will include a link to the relevant ABC forms.

Completing the Alcoholic Beverage License Application

You must complete all required fields and upload all required documents prior to submitting your application.

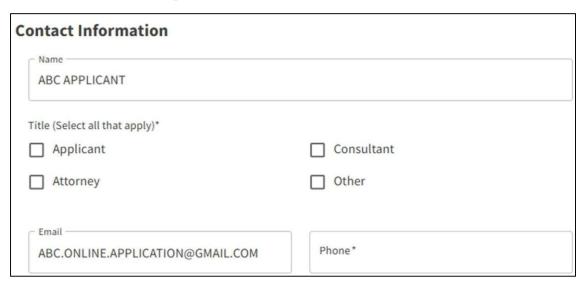
Contact Information

Your name and email address will automatically populate from your Online Services account and cannot be changed. Your phone number will populate if you

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have added it to your Online Services account profile. Enter your title, such as "Applicant" or "Consultant".

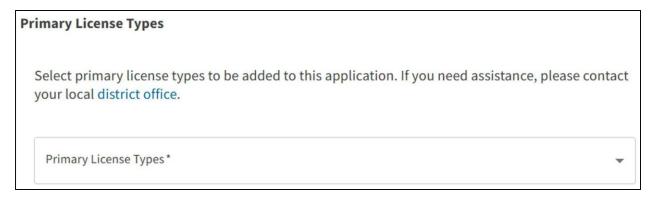
The email address and phone number in the Contact Information section will be used to contact you during the application review and investigation. Monitor your email and your license application dashboard to see statuses and requests for additional information or payments.



Application Type

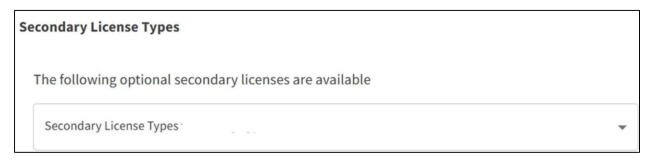
You can apply for most original retail and non-retail licenses online. Priority license winners cannot currently apply online. Additional license and transaction types will be available in the future.

Select your primary license type. Specific license types may prompt you for additional information.



You can apply for multiple primary or secondary license types, but you will only be able to select license types which are authorized to be issued together.

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If you have questions about license types, review the <u>license type</u> descriptions or contact your local <u>district office</u>. If the license type you select does not align with your planned business operation, your application may be voided.

You must acknowledge that you understand the license types you have selected and that they meet your business needs.



Premises Information

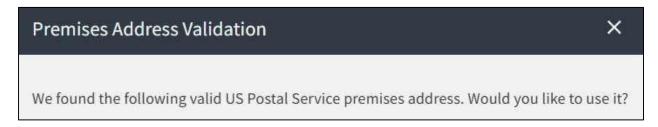
Enter the Doing Business As (DBA) name for your business, if any. The DBA name must match the name filed with the Secretary of State.

DBA Name		
DBA Name		

Complete all the required address fields. If the premises does not yet have a permanent address, describe the location, including the street name.

For addresses within the United States, you will be prompted whether to use the address information on record with the US Postal Service.

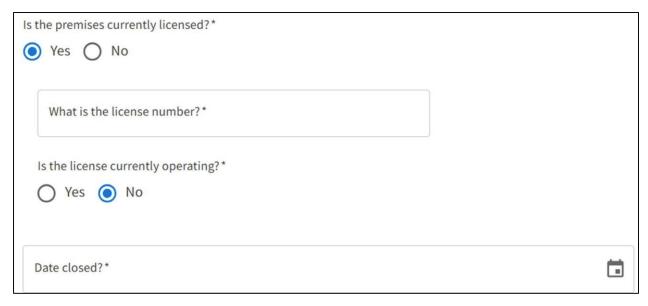
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If the application type includes a Type 20 and the premises city or county is subject to moratorium, you will not be able to apply online.

The proposed premises is located in a jurisdiction that has a moratorium. You are unable to apply online at this time. Please contact your local district office for more information.

Complete all additional questions about the premises. If there is an active license at the premises, it must be surrendered before your license can be approved.



If you have selected a non-retail application type, you will be prompted to answer additional premises questions for that application type.

Mailing Information

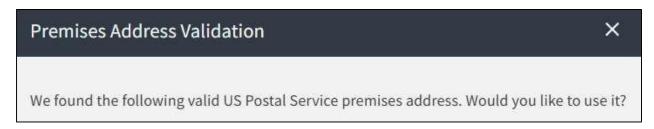
If the mailing address is different from the premises address, select whether the mailing address is in California, in the USA outside of California, or outside the USA.

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Complete all required address fields and select whether the mailing address is temporary. All correspondence from ABC will be sent to the mailing address, including the copy of your license.

For addresses within the United States, you will be prompted whether to use the address information on record with the US Postal Service.



Applicant Information

If any person under the age of 21 years holds any interest, no matter how small, in any applicant's ownership entity or intervening entities, you are not eligible to apply for an alcoholic beverage license online.

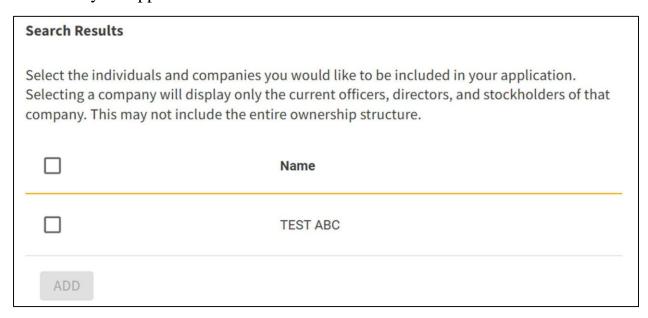
Searching for an Existing Applicant

If any applicant has ever been licensed by ABC, you must search for a license number associated with that applicant.

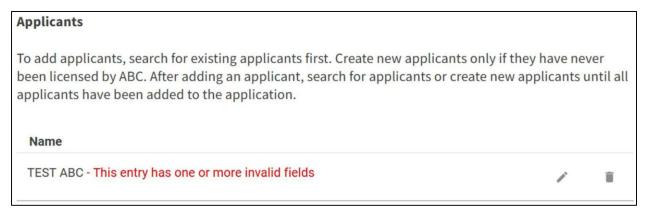


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Review the Search Results. Select the individuals and companies you would like to include in your application. Click **Add**.

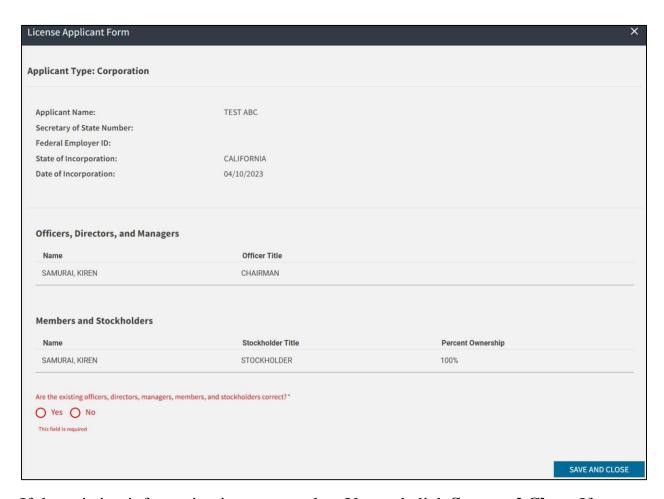


The applicant will appear in the applicants table.

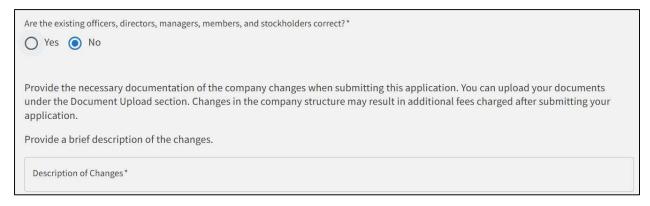


If any applicant is a business entity, you must review and confirm the member and stockholder information. Select whether the existing officers, directors, managers, members and stockholders are correct.

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If the existing information is correct, select Yes and click **Save and Close**. If any of the information is not correct, describe the changes and upload supporting documentation for those changes. Changes to the business entity structure may result in additional fees after submitting your application.



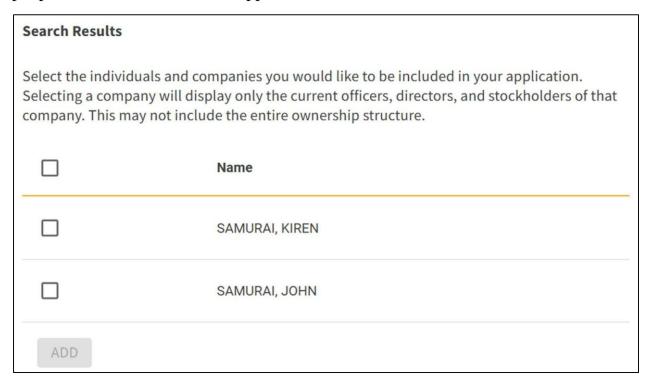
To search for a specific person associated with a license number, you can search the license number and the person's first or last name. To search for an entity

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associated with the license number, you can search the license number and part or all of the entity name.



The search results will display every name associated with the license that meets the search criteria, including spouses and former officers. You should only select people or entities who will be applicants.



You can search additional license numbers to add other applicants who have been licensed by ABC.

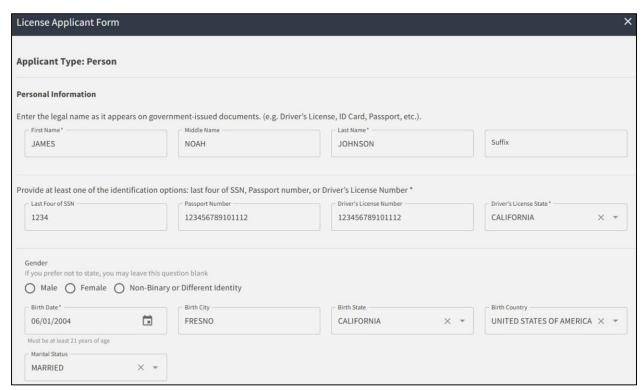
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Creating a New Applicant

To create a new applicant who has never been licensed by ABC, select the applicant type. You can repeat this process to create multiple applicants.

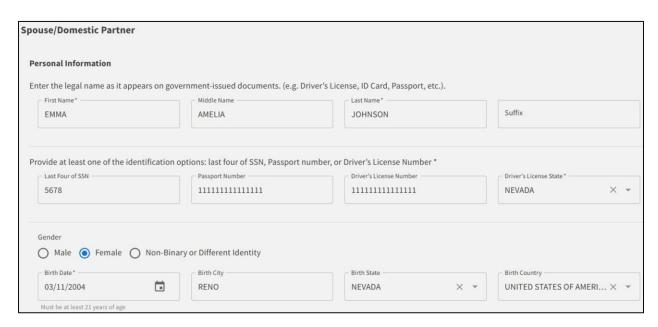
Select an applicant type	
O Person	Corporation
O Limited Partnership	O Limited Liability Company
	○ Trust

If the applicant is a person, not a business entity, complete all required fields.

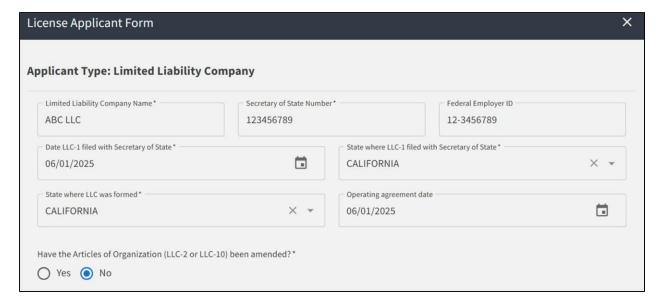


If the applicant has a spouse or domestic partner, you must provide all of the required information for the spouse or domestic partner as well.

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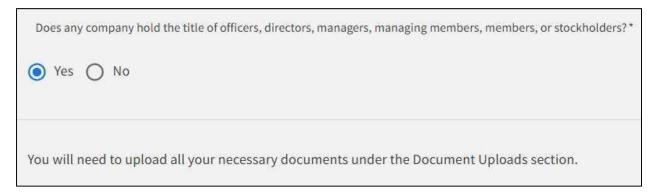


If the applicant type is a business entity, complete all required fields for that entity type. The screenshots below apply to a Limited Liability Company, but the requirements are similar for Limited Partnerships, Corporations, and Trusts.



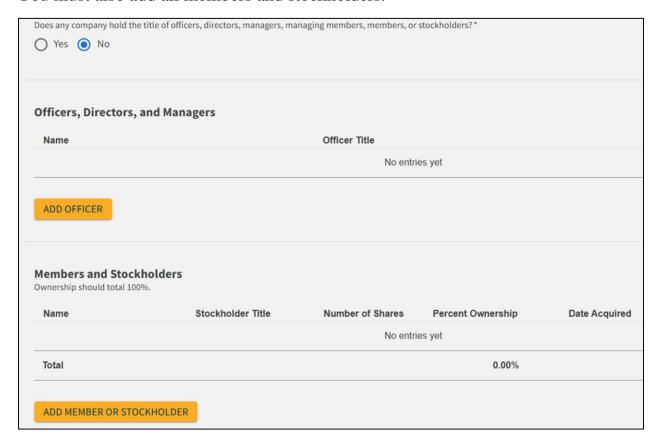
If any company holds the title of officers, directors, managers, managing members, or stockholders, you must upload the corporate structure in the **Upload Documents** section.

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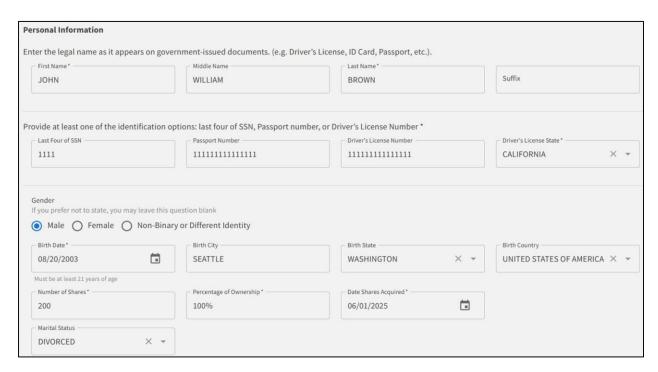
If no company holds the title of officers, directors, managers, managing members, or stockholders, you must enter all officers, directors and managers. All business entities must have at least one officer, director, or manager.

You must also add all members and stockholders.



For each officer, director, manager, member, or stockholder, complete all required fields.

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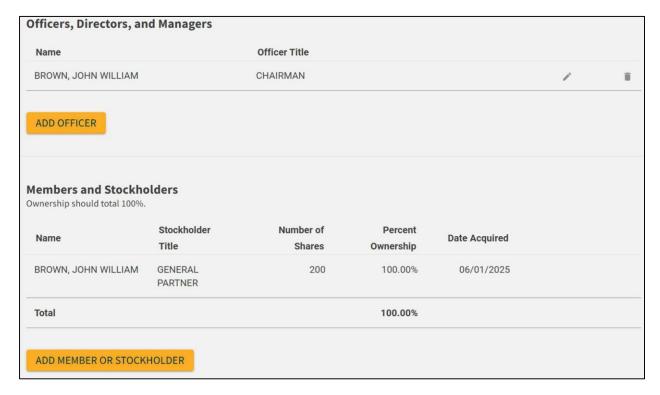


If the officer, director, or manager has a spouse or domestic partner, you must provide all of the required information for the spouse or domestic partner as well.

Complete the same process for each member or stockholder, including percentage of ownership. Ownership should total 100%.

Once each officer, director, manager, member, and stockholder has been added and saved, they will display on the License Applicant Form. You can add, edit, or delete any of the indiduals you entered.

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Questions for All Applicants

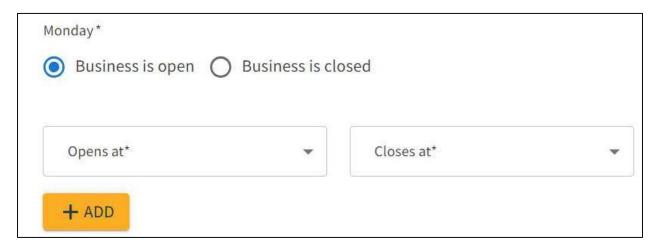
Answer the required questions on behalf of all applicants. If any applicant has been convicted of a felony or violated any provisions of the ABC Act, you must answer Yes. This includes officers, directors, managers, members, and stockholders.

Has/Have the applicant(s) ever been convicted of a felony?* Yes No	
"Has/Have the applicant(s) ever violated any provisions of the Alcoholic Beverage Control Act or regulations of the Department pertaining to the Act?* Yes No	

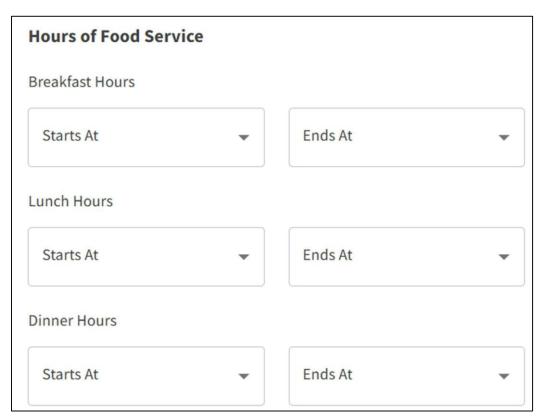
Retail Business Information

Complete information about your planned business operation. Enter operating hours for each day. You can enter multiple timeframes for each day the business is open by clicking the **Add** button. Alcoholic beverages may not be sold, served, given away, or allowed to be consumed between the hours of 2:00 AM to 6:00 AM pursuant to Business and Professions Code §§ 25631, 25632. Operating hours are subject to ABC investigation and approval.

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If there is minimal or full food service, you must enter the hours of food service. The food service hours can be different from the business hours.



Additional questions will be based on the application type you selected. If the license type you selected does not align with your planned business operation, your application may be voided.

Non-Retail Business Information

Complete all required fields.

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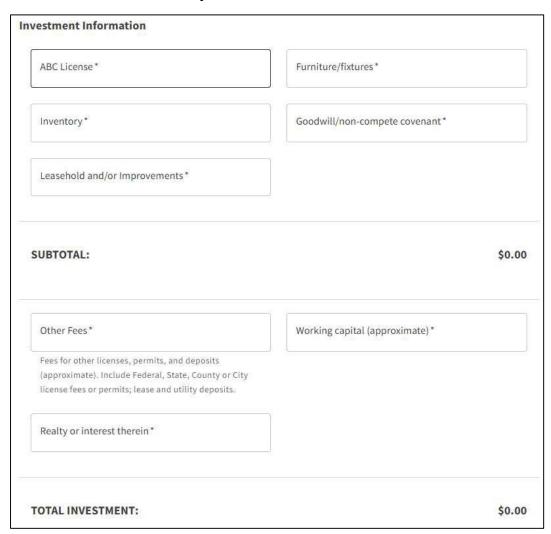
If the license type you selected does not align with your planned business operation, you may be prevented from completing the online application. For example, the Type 02 Winegrowers license requires manufacturing. If you select a Type 02 and answer "No" to the question "Do you intend to Manufacture?" you cannot proceed with the application.

Additional questions will be based on the application type you selected.

Financial Information

Complete all required fields.

Enter all applicable investment information. Your investment subtotal and total investment will be automatically calculated.



If you have any investment amount, you must add the source of funds.

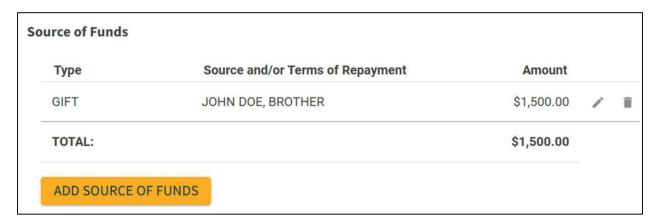
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After entering a source of funds, click the **Save and Close** button.



You can as many sources as you need. The total will be automatically updated after each entry. The total source of funds must be equal to or greater than the total investment.



Add all bank accounts which will be used for the business.



After entering each bank account, click the Save and Close button.

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You can add as many accounts as you need.



You must check the box to confirm you have read and understand the attestation for financial information.

Attestation

I/we understand that falsification of the information may constitute grounds for denial or revocation of the license(s). For a period of 90 days from this date, I/we hereby authorize the Department of Alcoholic Beverage Control, or any of its officers, to examine and secure copies of financial records consisting of signature cards, checking and savings accounts, notes and loan documents, deposit and withdrawal records, and escrow documents of my/our financial institution(s) or any financial records established in connection with this business. This authorization to examine records at any financial institution may be revoked at any time.

I/we also authorize the Department of Alcoholic Beverage Control, or any of its officers, to examine and secure copies of any business records or documents established in connection with this business including, but not limited to those on file with my/our bookkeeper. I/we also read all of the above and declare under penalty of perjury that each and every statement is true and correct.

☐ I attest that I have read and understand the previous statements.*

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Upload Documents

You must upload required documents for each section. Please include a short description of your document and form number in the file name (e.g. John_Smith_ABC-208-A.pdf). If the uploaded documents are incomplete or inaccurate, the application may be voided.

You can upload the following file types:

- Portable Document Format File (.pdf)
- Microsoft Excel Document (.xls)
- Microsoft Excel Open XML Document (.xlsx)
- Microsoft Word Document (.doc)
- Microsoft Word Open XML Document (.docx)
- Plain Text File (.txt)

The maximum size for each file is 100 MB.

Ownership Structure

Documents will be required based on the ownership structure (sole proprietorship, partnership, corporation, etc). Upload any required ownership documents.

Individual Personal and Financial Affidavits

Upload a signed and **NOTARIZED** Individual Personal Affidavit (Form ABC-208-A) for each person and spouse specified in the Applicant Information section. You must also upload a signed and **NOTARIZED** Individual Financial Affidavit (Form ABC-208-B) for any of those individuals who are making a financial contribution.

Application Signature Sheet

Upload a signed and **NOTARIZED** Application Signature Sheet (Form ABC-211Sig) for each person(s) required to sign the application. You may have multiple signatures on one document.

Licensed Premises Documents

Upload the Licensed Premises Diagram (Form ABC-257), with the area to be licensed outlined (preferably in red). You can also upload the Zoning Affidavit (Form ABC-255) and any other premises-related documents.

Tied-House Restrictions

Upload the Certification RE Chapter 15 Tied-House Restrictions (Form ABC-140).

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Other Documents

You can upload additional documents for review by ABC staff.

Attest and Submit

To submit your application, you must check the box to confirm you have read and understand the attestation. After you have submitted the application, you will no longer be able to make changes.

Attest and Submit	
After attesting and submitting your application, you will not be able to make changes to your application.	
By submitting this application, I declare under penalty of perjury that each and every statement in this application is true, correct, and complete and understand that any omission or falsification of the information will be considered a misrepresentation of a material fact and may result in the denial or revocation of the license.	
I also understand and agree to pay all fees due within 24 hours of submitting the application to the Department of Alcoholic Beverage Control.	
☐ I attest that I have read and understand the previous statements. *	
If you do not pay all fees due within 24 hours of submitting the application, this application will be voided. Once the application is voided, you must start a new application if you wish to reapply.	9

Payment of initial application fees is due within 24 hours of submitting your application. If your payment is not submitted, the application may be voided, and you must start a new application if you wish to reapply.

You will receive an email notification if your application is voided.

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This application for an alcoholic beverage license has been voided. This may have occurred due to a number of reasons, including but not limited to, lack of payment within 24 hours of fees requested, or failure to upload required documents. You are not authorized to serve alcohol at this premises.

If you wish to reapply, you must start a new application.

If you have questions regarding this license application, please contact the local district office.

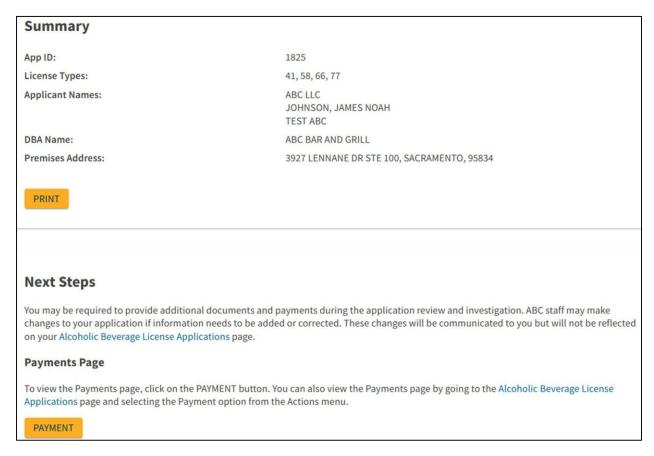
We would like to provide you with the best possible service and your input is vital to our success. Please help us serve you and others better by taking a few minutes to respond to our Customer Service Survey.

Log in to manage your applications.

Paying Application Fees

After submitting your application, you will receive a summary page which includes Next Steps and Payment instructions. It may take up to five minutes for your fees to generate.

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You can review your application status at any time by going to **Manage** > **Applications** and clicking **View License Applications**.



After submission, your status will be "Submitted".



The status will update to Fees Due when the fees are available to pay.



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You will also receive an email notifying you that fees are due.

Hello ABC Applicant,

The Department of Alcoholic Beverage Control has received your submitted license application. You must pay the application fees before your application can be reviewed and accepted. New Application Status: NEW License Application Number: 667758-1825 License Type(s): 41, 58, 66, 77 Applicant Name(s): ABC LLC JOHNSON, JAMES NOAH **TEST ABC** DBA: ABC BAR AND GRILL Premises Address: 3927 LENNANE DR STE 100, SACRAMENTO, CA 95834 Your application will not be reviewed until payment is received. You have 24 hours to pay the application fees after it is submitted, or your application will be voided. If the application is voided, refunds will be made payable to the applicant(s) and may take 6-8 weeks to process. Once the application is voided, you must start a new application if you wish to reapply.

After ABC receives the application fees, ABC staff will review the application and contact you with further instructions. Your application is not yet accepted. You may be required to provide additional documents and payments during the application investigation. ABC staff may make changes to your application if information needs to be added or corrected. These changes will be communicated to you but will not be reflected on your Alcoholic Beverage License Application page.

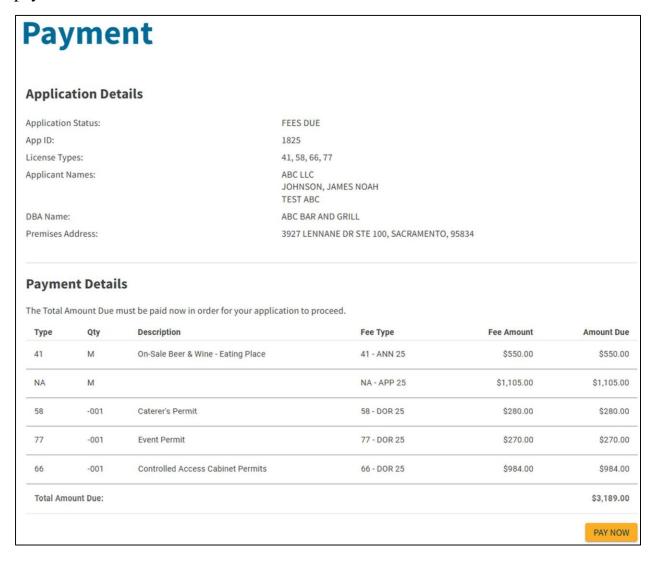
PAY NOW

If you have logged out of your account, you will need to log back in and go to **Manage -> Applications** and click **View License Applications**. From your application list, select the Payment action to view payments due.

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The Payment Details table will show all fees due. Click Pay Now to make your payment.



Select your payment method and click **Next**. A 2.99% non-refundable convenience fee will be added to all credit card payments.

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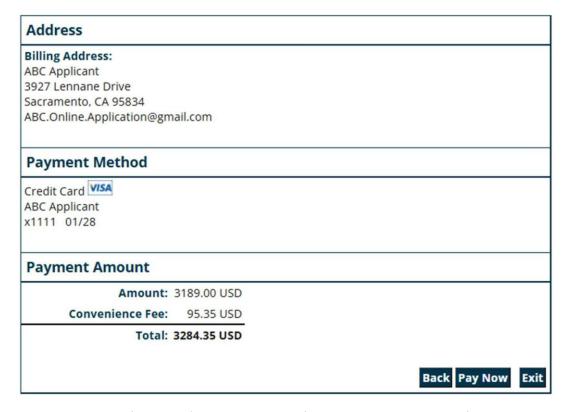


Enter your payment information and click Next.

	* Indicates required field
Billing Address	
Use Business Name	
First Name:	ABC
M.I.:	
Last Name:	Applicant
*Street Line 1:	3927 Lennane Drive
Street Line 2:	
*City:	Sacramento
*State:	California
*Zip:	95834
	UNITED STATES 🔻
Phone:	
*E-Mail:	ABC.Online.Application@gmail.com
Payment Details	
*Payment Amount: Convenience Fee:	
Payment Method	
*Name on Card:	ABC Applicant
*Card Number:	41111111111111
*Expiration Date:	* Month 01
	Back Next Exit

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Review your payment information and click Pay Now.

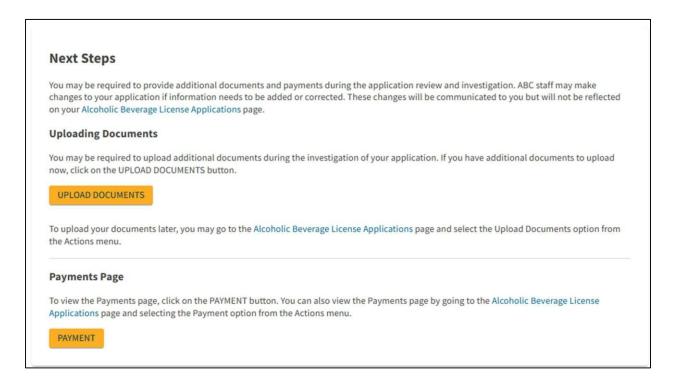


When your payment is complete, you can print your payment receipt.



Your payment receipt will also include Next Steps.

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Managing a Submitted Application

Only the contact person can view a submitted application. To view the submitted application, select **Manage** and click **Applications**. Click **View License Applications**.

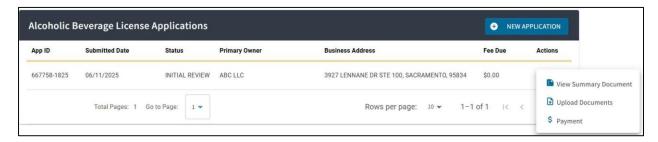


Once the fees have been paid, the application status will be **Initial Review**. This means that staff are currently reviewing your submitted application.



You can view a summary of your application, upload documents, or view your payments.

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You cannot change any of the data you entered once the application is submitted. If you need to change any of the information, please contact your local <u>district office</u>. ABC staff may make changes to your application if information needs to be added or corrected. These changes will be communicated to you but will not be reflected on your Alcoholic Beverage License Application page.

Additional Payments

If additional payments are required during the review and investigation of your application, you will receive an email notification and can make those payments online.

Your application status will return to Fees Due until you complete the payment.



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Hello ABC Applicant,

The following license application has additional fees due within 3 business days:

New Application Status: FEES DUE License Application Number: 667758-1825

License Type(s): 41, 58, 66, 77

Applicant Name(s):

ABC LLC

JOHNSON, JAMES NOAH

TEST ABC

DBA: ABC BAR AND GRILL

Premises Address: 3927 LENNANE DR STE 100, SACRAMENTO,

CA 95834

After ABC receives the additional fees, ABC staff will continue to review the application and contact you with further instructions. You may be required to provide additional documents and payments during the application investigation. ABC staff may make changes to your application if information needs to be added or corrected. These changes will be communicated to you but will not be reflected on your Alcoholic Beverage License Application page.

We would like to provide you with the best possible service and your input is vital to our success. Please help us serve you and others better by taking a few minutes to respond to our <u>Customer Service Survey</u>.

If you have questions regarding this license application or the additional fees due, please contact the local district office.

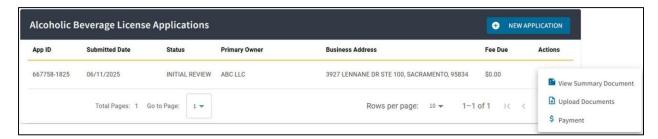
PAY NOW

Should you have any questions, please call (916) 318-6435 or email onlineservices@abc.ca.gov

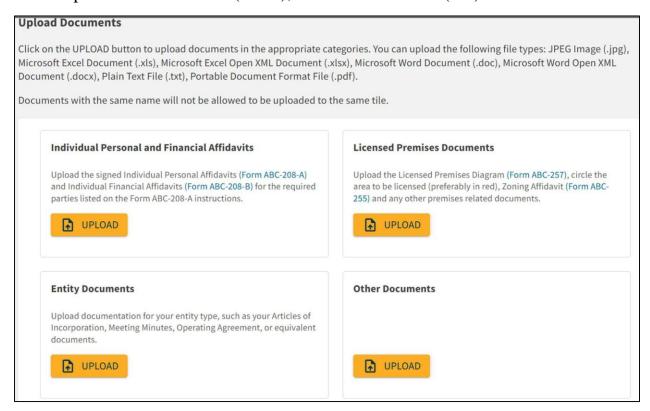
Upload Documents

If you have additional documents to upload, select the Actions menu and choose **Upload Documents**. You can only upload documents while the application is in Initial Review or Pending status.

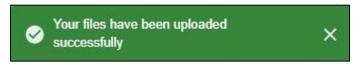
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Click the **Upload** button to upload documents in the appropriate categories. Documents must be less than 100 MB. You can upload the following file types: Portable Document Format File (.pdf), Microsoft Excel Document (.xls), Microsoft Excel Open XML Document (.xlsx), Microsoft Word Document (.doc), Microsoft Word Open XML Document (.docx), and Plain Text File (.txt).

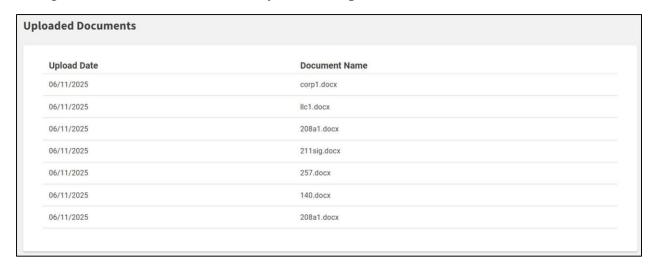


A message will confirm your documents were uploaded successfully.



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After you have uploaded your documents, click **Save and Close**. You will see a list of the documents you have uploaded, along with their upload date. You cannot change or delete documents once you have uploaded them.



Review and Investigation

After the Initial Review is complete and all fees have been paid, the application status will change to Pending.



You will also receive an email notifying you that the status is Pending.

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Your application has been accepted and is now pending, but not yet approved. It will next be assigned to a Licensing Representative and ABC staff will contact you with further instructions. You may be required to provide additional documents and payments during the application review and investigation. ABC staff may make changes to your application if information needs to be added or corrected. These changes will be communicated to you but will not be reflected on your Alcoholic Beverage License Application page.

We would like to provide you with the best possible service and your input is vital to our success. Please help us serve you and others better by taking a few minutes to respond to our Customer Service Survey.

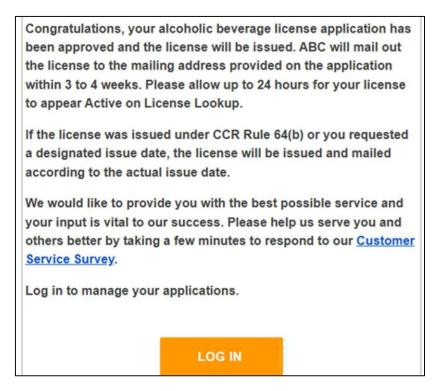
Log in to manage your applications.

An ABC Licensing Representative may request additional information, documents, or payments during the investigation process.

Application Approval

If the application is approved, you will receive a notification email that the license has been issued. You will receive your license at the mailing address on the application in 3-4 weeks.

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You will also receive a letter with a validation code to create a License Administrator account. The contact person for the application will not automatically become the License Administrator.

The License Administrator is the person responsible for managing your license online. It must be the licensee, or someone authorized by the licensee to perform functions on their behalf. If the License Administrator is not a licensee, you must acknowledge, understand, and agree that the License Administrator has the authority to act for and on your behalf with respect to any information provided or changes made through ABC Online Services. You also accept full responsibility for, and shall be liable for any violation of law based upon, any information provided or changes made by the License Administrator.

The application will remain on your dashboard for 76 days after issuance. After 76 days, it will be removed from your dashboard.

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